



NHS South East London Integrated Care Board Scheme of Reservation & Delegation

Policy Area	Decision	Reserved or delegated to Board	Chief Executive	Chief Financial Officer	Committees and Sub-committees
REGULATION AND CONTROL	Prepare the ICB's overarching Scheme of Reservation and Delegation, which sets out those decisions of the ICB reserved to the Board and those delegated to the <ul style="list-style-type: none"> ○ Board ○ committees and sub-committees of the ICB, or ○ its employees 		√		
REGULATION AND CONTROL	Approval of the group's overarching scheme of reservation and delegation	√			
REGULATION AND CONTROL	Prepare the ICB's operational scheme of delegation (schedule of matters delegated to officers), which sets out those key operational decisions delegated to individual employees of the ICB.		√		
REGULATION AND CONTROL	Approval of the ICB's operational scheme of delegation (schedule of matters delegated to officers) that underpins the ICB's Overarching Scheme of Reservation and Delegation.				Planning & Finance Committee

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REGULATION AND CONTROL	Consideration and approval of applications to NHS England on any matter concerning changes to the ICB's constitution	√			
REGULATION AND CONTROL	Prepare detailed financial policies that underpin the ICB's standing financial instructions			√	
REGULATION AND CONTROL	Approve detailed financial policies				Executive Committee
REGULATION AND CONTROL	Approve any changes to the ICB's committee structure	√			
REGULATION AND CONTROL	Approve arrangements for managing exceptional funding requests				Planning & Finance Committee
REGULATION AND CONTROL	Exercise or delegation of those functions of the ICB which have not been delegated to the board or other committee or sub-committee or [specified] employee		√		
STRATEGY AND PLANNING	Agree the vision and values of the ICB	√			
STRATEGY AND PLANNING	Agree the overall south east London integrated strategy				Integrated Health and Care Partnership
STRATEGY AND PLANNING	Agree the overall strategic direction of the ICB	√			

Policy Area	Decision	Reserved or delegated to Board	Chief Executive	Chief Financial Officer	Committees and Sub-committees
STRATEGY AND PLANNING	Approval of the ICB's annual corporate budgets	√			
STRATEGY AND PLANNING	Approval of variations to the approved budget where variation would have a significant impact on the overall approved levels of income and expenditure or the ICB's ability to achieve its agreed strategic aims.	√			
ANNUAL REPORTS AND ACCOUNTS	Approval of the ICB's annual report and annual accounts				Audit committee
ANNUAL REPORTS AND ACCOUNTS	Approval of the arrangements for discharging the ICB's statutory financial duties.	√			
HUMAN RESOURCES	Approval of the ICB's operating structure (in relation to organisational structures within the ICB)		√		
HUMAN RESOURCES	Approval of terms and conditions, pensions, remuneration, fees and allowances payable to board members, employees and to other persons providing services to the ICB outside of agenda for change				Remuneration committee
HUMAN RESOURCES	Approval of responsibility allowances payable to employees in Agenda for Change bands 2-7 which are less than £2,500 p.a.				Executive Committee
HUMAN RESOURCES	Approve disciplinary arrangements for employees, including the Chief Executive (where he/she is an				Remuneration committee

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	ICB employee) and for other persons working on behalf of the ICB				
HUMAN RESOURCES	Approval of the arrangements for discharging the ICB's statutory duties as an employer	√			
HUMAN RESOURCES	Leading system implementation of people priorities including delivery of the People Plan and People Promise by aligning partners across the ICS to develop and support 'one workforce', including through closer collaboration across the health and care sector, with local government, the voluntary and community sector and volunteers				People Board
HUMAN RESOURCES	Approve human resources policies for employees and for other persons working on behalf of the ICB				Executive Committee
QUALITY AND SAFETY	Approve arrangements to minimise clinical risk, maximise patient safety and to secure continuous improvement in quality and patient outcomes				Quality & Performance committee
QUALITY AND SAFETY	Approve quality and safety policies to minimise clinical risk, maximise patient safety and to secure continuous improvement in quality and patient outcomes				Executive Committee
QUALITY AND SAFETY	Approve arrangements for supporting NHS England in discharging its responsibilities in				Quality & Performance committee

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	relation to securing continuous improvement in the quality of general medical services.				
OPERATIONAL AND RISK MANAGEMENT	Approval of the ICB's counter fraud and security management arrangements				Audit committee
OPERATIONAL AND RISK MANAGEMENT	Approval of the ICB's risk management arrangements.				Audit committee
OPERATIONAL AND RISK MANAGEMENT	Approve arrangements for risk sharing and or risk pooling with other organisations (for example arrangements for pooled funds with other Integrated Care Boards or pooled budget arrangements under section 75 of the NHS Act 2006).	√			
OPERATIONAL AND RISK MANAGEMENT	Approval of a comprehensive system of internal control, including budgetary control, that underpins the effective, efficient and economic operation of the ICB				Audit committee
OPERATIONAL AND RISK MANAGEMENT	Approve proposals for action on litigation and claims handling against or on behalf of the ICB				Executive committee
OPERATIONAL AND RISK MANAGEMENT	Approval of the ICB's arrangements for business continuity and emergency planning				Executive committee

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OPERATIONAL AND RISK MANAGEMENT	Development of the ICB's Operational plans				Executive Committee
OPERATIONAL AND RISK MANAGEMENT	Authority to make decisions relating to operational matters, within the financial limits specified in the Schedule of Matters Delegated to Officers, where not explicitly delegated elsewhere or defined elsewhere in the Schedule of Matters				Executive Committee
GOVERNANCE	Approval of the ICB's arrangements for handling complaints				Quality & Performance committee
INFORMATION GOVERNANCE	Approval of the arrangements for ensuring appropriate safekeeping and confidentiality of records and for the storage, management and transfer of information and data				Planning & Finance committee
INFORMATION GOVERNANCE	Approval of Information Governance Policies				Executive Committee
TENDERING AND CONTRACTING	Approval of the ICB's contracts for any contracting / commissioning support including in respect of any commissioning functions delegated by NHSE		√		
TENDERING AND CONTRACTING	Approval of the ICB's contracts for corporate support (for example finance provision)		√		

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TENDERING AND CONTRACTING	Approval of changes to the provision or delivery of assurance services to the ICB including internal audit, security management and counter fraud				Audit Committee
TENDERING AND CONTRACTING	Approve the appointment (and where necessary dismissal) of external auditors (and where necessary change/removal) of external audit				Auditor Panel
PARTNERSHIP WORKING	Approve decisions that individual members or employees of the ICB, participating in joint arrangements on behalf of the ICB, can make. Such delegated decisions must be formally recorded	√			
PARTNERSHIP WORKING	Approval of a new pooled budget, with a south east London local authority	√			
PARTNERSHIP WORKING	Approve decisions delegated to joint committees established under section 75 of the 2006 Act.	√			
PRIMARY CARE COMMISSIONING	Approve primary care commissioning arrangements in south east London (Bexley, Bromley, Greenwich, Lambeth, Southwark, Lewisham)				Planning & Finance committee
PRIMARY CARE COMMISSIONING	Approval of the arrangements for discharging the ICB's responsibilities and duties associated with its primary care commissioning functions for promoting improvement in the quality of services, reducing inequalities in relation to its primary care				Planning & Finance committee

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	commissioning functions and promoting the involvement of each patient, patient choice, public engagement and consultation				
PRIMARY CARE COMMISSIONING	Approval of the arrangements for discharging the ICB's responsibilities and duties associated with Pharmacy, Optometry & Dentistry (PODs) (delegated by NHS England) for promoting improvement in the quality of services, reducing inequalities in relation to its POD functions and promoting the involvement of each patient, patient choice, public engagement and consultation				Planning & Finance committee via the joint London POD Commissioning Oversight Group
PARTNERSHIP WORKING	Approval of the arrangements for promoting integration and co-ordinating the commissioning of services with other integrated care boards, provider collaboratives, place and/or with the local authority/ies, where appropriate	√			
COMMISSIONING AND CONTRACTING FOR CLINICAL SERVICES	Approval of the arrangements for discharging the ICB's statutory duties associated with its commissioning functions for promoting improvement in the quality of services				Quality & Performance Committee
COMMISSIONING AND CONTRACTING FOR CLINICAL SERVICES	Approval of the arrangements for discharging the ICB's statutory duties associated with its commissioning functions including promoting the				Engagement Assurance Committee

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	involvement of each patient, patient choice, public engagement and consultation				
COMMISSIONING AND CONTRACTING FOR CLINICAL SERVICES	Approval of the arrangements for discharging the ICB's statutory duties associated with its commissioning functions to promote reductions in inequalities	√			
COMMISSIONING AND CONTRACTING FOR CLINICAL SERVICES	Approval of the arrangements for co-ordinating the commissioning of services with other integrated care boards, provider collaboratives, place and/or with the local authority(ies), where appropriate	√			
DELEGATED COMMISSIONING ARRANGEMENTS	Decisions delegated by formal resolution of the board				√
DELEGATED COMMISSIONING ARRANGEMENTS	Overseeing the work of Pharmacy, Optometry & Dental, delegated by NHSE, via a Hub within NEL ICB to include: oversight of the POD Hub's contract management function and the commissioning activity and advice they undertake on behalf of the ICB, under the direction of the MoU (between NEL ICB and all other ICBs)				Planning & Finance committee via the joint London POD Commissioning Oversight Group
DATA AND DIGITAL	Agree with partners across the NHS and with local authorities to put in place smart digital and data foundations to connect health and care services, putting people at the centre of their care				Digital Board

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DATA AND DIGITAL	Approval of plans to use joined-up data and digital capabilities to understand local priorities, track delivery of plans, monitor and address unwarranted variation, health inequalities and driver continuous performance and outcomes				Planning & Finance committee
SUSTAINABILITY	Agree joint working on estates, procurement, supply chain and commercial strategies to maximise value for money across the system and support wider goals of development and sustainability	√			